

M Annexure B: FICA Documents and Additional Information for Related Parties

- FICA requires the Administrator to collect certain information for parties related to the Investor as detailed in this annexure.
- Please provide a copy of this annexure along with the supporting documents for each related party detailed herein.

This annexure must be completed by the following persons; please indicate what you are in relation to the Investor:

Director	Authorised Signatory	Partner	Trustee	Member	Shareholder	Beneficiary
Other Type of Ultimate Beneficial Owner		Specify:				
Other Type of Person Exercising Executive Control		Specify:				

Please provide the following documentation:

Identity Document	One of the following:		
	<ul style="list-style-type: none"> ■ Clear copy of South African ID booklet or Smart ID (front and back) ■ Clear copy of valid South African or foreign passport (photo page) 		
Proof of Address	One of the following less than 3 months old unless otherwise indicated:		
	<table border="0"> <tr> <td> <ul style="list-style-type: none"> ■ Utility and/or municipal rates bill ■ Bank statement ■ Home loan statement ■ Landline or cellphone account statement ■ Pay slip ■ Tax return (less than 12 months old) ■ Valid lease agreement </td> <td> <ul style="list-style-type: none"> ■ Levies statement ■ Valid TV licence ■ Insurance policy document (less than 12 months old) ■ Vehicle licence (less than 12 months old) ■ Statement of account from a retail store ■ A tax invoice from a regulatory body ■ Regulatory document from CIPC, FSCA or other </td> </tr> </table>	<ul style="list-style-type: none"> ■ Utility and/or municipal rates bill ■ Bank statement ■ Home loan statement ■ Landline or cellphone account statement ■ Pay slip ■ Tax return (less than 12 months old) ■ Valid lease agreement 	<ul style="list-style-type: none"> ■ Levies statement ■ Valid TV licence ■ Insurance policy document (less than 12 months old) ■ Vehicle licence (less than 12 months old) ■ Statement of account from a retail store ■ A tax invoice from a regulatory body ■ Regulatory document from CIPC, FSCA or other
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Please provide the following personal information:

Title:	Specify:	First Name(s):
Surname:		Marital Status:
Date of Birth:	dd mm yyyy	Country of Birth:
Citizenship:		ID / Passport No:
Home Phone:		Cell Phone:
Work Phone:		Email:
Home Address:		Post Code:
Postal Address:	Same as Home Address Or:	Post Code:

I want online access to this Investment Account

Are you a domestic prominent influential person or a foreign prominent public official, or a relative or close associate thereof?

Yes No If yes, please specify:

Please provide the following tax information:

- Please list below each country in which you are tax resident and provide the relevant TIN for each country. If you are unable to provide a TIN for any country listed below, then please provide the reason (A, B, C or D) for not being able to do so.
 - A: I have never registered for a TIN with SARS (only applicable to South African tax residents)
 - B: My tax authority does not require me to provide a TIN (does not apply to South African or United States tax residents).
 - C: My country does not issue TINs to its tax residents (does not apply to South African or United States tax residents).
 - D: I am unable to obtain a TIN, because:
- Do you have a United States tax number, residency or citizenship? Yes No

Country:	Tax Identification Number ("TIN"):	Reason for no TIN:			
		A	B	C	D
		A	B	C	D
		A	B	C	D
		A	B	C	D

Declaration:

- I confirm that all the information provided in this annexure and all other documents signed by me in connection with this application, whether in my handwriting or not, is correct.
- I am responsible for the accuracy and completeness of all answers, statements or other information provided by me or on my behalf.
- I consent to the Administrator processing my personal information according to the Terms and Conditions.
- I acknowledge and consent to the Administrator reporting my tax information to SARS, where required. My most recent tax declaration will replace all previous declarations made.
- I acknowledge that the South African Revenue Service ("**SARS**") may share my tax information with my local tax authority to adhere to the Foreign Accounts Tax Compliance Act ("**FATCA**") and the Organisation for Economic Co-operation and Development's ("**OECD**") Common Reporting Standard ("**CRS**").
- I acknowledge that if the Administrator identifies through the information provided on this Application Form that I fall into the definition of a "**US person**", I may be required to submit further documents.
- I declare that I comply with the relevant provisions in the Income Tax Act and, where applicable, the requirements in terms of the relevant DTA in force between South Africa and the country of residence I have specified above.
- I undertake to inform the Administrator in writing if the circumstances referred to in the declaration above change.

Signature:

Date: dd mm yyyy